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PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

330

Application Number

10/655,763

Filing Date

09/05/2003

First Named Inventor

Mohamed Nourmohamadian

Art Unit

2186

Examiner Name

Attorney Docket Number

ULTERA.007A

### ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

☐

Certified Copy of Priority Document(s)

☐

Response to Missing Parts/  
Incomplete Application

☐

Response to Missing Parts  
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a  
Provisional Application

☐

Power of Attorney, Revocation

☐

Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) \_\_\_\_\_

☐

After Allowance communication  
to Technology Center (TC)

☐

Appeal Communication to Board  
of Appeals and Interferences

☐

Appeal Communication to TC  
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please  
Identify below):

See "Remarks" for complete itemization  
of this submission

Remarks

- Transmittal Form in 1 page
- Information Disclosure Statement in 1 page;
- Form PTO/SB/08A in 1 page
- Form PTO/SB/08B in 1 page
- Copies of 10 Cited References in 326 pages

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

LAW OFFICE OF GLENN R. SMITH

Signature

*Glenn R. Smith*

Date

11/18/2004

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

Glenn R. Smith

Signature

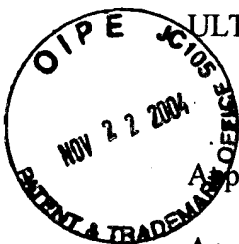
*Glenn R. Smith*

Date

11/18/2004

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ULTERA.007A

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Mohamad Nourmohamadian ) Group Art Unit 2186  
Appl. No. : 10/655,763 )  
Filed : September 05, 2003 )  
For : TAPE STORAGE EMULATOR )  
Examiner : )

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

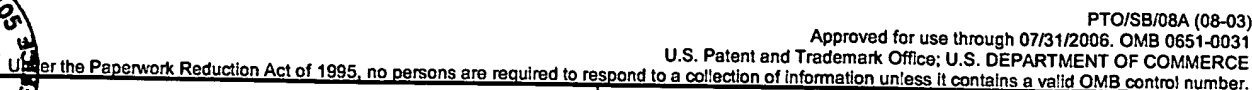
In accordance with the provisions of 37 C.F.R. § 1.56 and 37 C.F.R. § 1.97-1.98, it is requested that the references listed on the attached forms PTO/SB/08A-B be made of record in the above-identified application. This submission is filed in accordance with 37 CFR 1.97(b)(3) before the mailing date of a first office action on the merits. Copies of the ten cited references are also attached herewith.

Respectfully submitted,

LAW OFFICE OF GLENN R. SMITH

Dated: 11/12/2004

By: Glenn R. Smith  
Glenn R. Smith, Esq., Reg. No. 38,308  
Attorney of Record  
28626 Brookhill Road  
Trabuco Canyon, CA 92679-1163  
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(Use as many sheets as necessary)

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|-------|---|----|---|
| Sheet | 1 | of | 2 |
|-------|---|----|---|

**Complete if Known**

|                        |                        |
|------------------------|------------------------|
| Application Number     | 10/655,763             |
| Filing Date            | 09/05/2003             |
| First Named Inventor   | Mohamad Nourmohamadian |
| Art Unit               | 2186                   |
| Examiner Name          |                        |
| Attorney Docket Number | ULTERA.007A            |

## U. S. PATENT DOCUMENTS

[illegible]

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

|                        |                        |
|------------------------|------------------------|
| Application Number     | 10/655,763             |
| Filing Date            | 09/05/2003             |
| First Named Inventor   | Mohamad Nourmohamadian |
| Art Unit               | 2186                   |
| Examiner Name          |                        |
| Attorney Docket Number | ULTERA.007A            |

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|-------|---|----|---|
| Sheet | 2 | of | 2 |
|-------|---|----|---|

**NON PATENT LITERATURE DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of<br>the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue<br>number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|-----------------------|--------------------------|---|----------------|
|                       |                          | ULTERA SYSTEMS, INC.;<br>Striper 4 Tape Series Tape Array Controller -- User Guide<br>May 2002; Ultera Systems, Inc., Laguna Hills, CA  |                |
|                       |                          | ULTERA SYSTEMS, INC.;<br>Simply The Fastest Most Reliable Backup/Restore Solution Available<br>2002; Ultera Systems, Inc., Laguna Hills, CA   |                |
|                       |                          | QUANTUM CORPORATION;<br>Enhanced Backup Solutions -- Quantum DX 30<br>November 2002; Quantum Corporation, Irvine, CA  |                |
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|-----------------------|--|--------------------|--|
| Examiner<br>Signature |  | Date<br>Considered |  |
|-----------------------|--|--------------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.  
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